# Confidence Contours

#### Uncertainty-Aware Annotation for Medical Semantic Segmentation



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The Pitfalls of Modeling
Structurally Uncertain Tasks

# **Goal** To build useful models for uncertain/ambiguous tasks (e.g., in medicine)

#### Medical segmentation – Overview

- **Goal:** Identify which pixels correspond to an object of interest
- **Used:** for diagnostic purposes, e.g. lung cancer risk
- Models: quickly process very high-res data
- Stakes: area/shape can influence diagnosis



Image & annotation from the Lung Image Consortium Dataset (LIDC)

Medical segmentation often features structural uncertainty, producing annotation disagreement.

Distinguishing superficial and structural uncertainty

Uncertainty	Example	Main Sources	Produces
Superficial	(find img)	Image quality	Continuous disagreement
Structural		Image contents, domain knowledge	Discrete disagreement

# Medical segmentation often features structural uncertainty: discrete annot. disagreement



Images & annotations from the Lung Image Consortium Dataset (LIDC)

# How to build models in the face of structural uncertainty?

## Three approaches:

- 1. ⊠ "Averaging out"
- 2.  $\boxtimes$  Modify the model
- 3. Confidence Contours

#### Approach 1: "Average out" the uncertainty

Voting, mean/median consensus, etc.

Works for superficial uncertainty, but tricky for structural uncertainty:

- Not necessarily consistent with domain knowledge rules
- Lose out on structural info

   High stakes!



## Intervention #1 Structural uncertainty isn't just a "problem", it's an important signal

- **Continuous Maps:** produce "smooth" (not "hard") segmentations
- **Candidate Generation:** produce *k* possible "hard" segmentations

Example (Continuous Maps): Bayesian Uncertainty



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Example (Candidate Generation): Probabilistic U-Net



## Intervention #2 What properties do we want for uncertainty representations?

#### Desirable properties of uncertainty rep's

#### Human-friendly. Humans both...

- ...**provide** uncertainty information, and
- ...need to **use** uncertainty representations.

#### **Providing** –

1. Convenient to annotate. Should be low-effort & natural

#### Using –

- 2. Informative. Rep's provide enough info to do the job
- 3. **Concise**. Rep's do not have info overload

- **Continuous Maps:** produce "smooth" (not "hard") segmentations
- **Candidate Generation:** produce *k* possible "hard" segmentations

Example (Continuous Maps): Bayesian Uncertainty



Continuous Maps: produce "smooth" (not "hard") segmentations
 Convenient to annotate? Annotate "as normal"

#### Informative and Concise? 🤔

- Is the model bad or is the data hard?
- What thresholds do I use?
- How do I make a judgement?

- **Continuous Maps:** produce "smooth" (not "hard") segmentations
- **Candidate Generation:** produce *k* possible "hard" segmentations

Example (Candidate Generation): Probabilistic U-Net



Continuous Maps: produce "smooth" (not "hard") segmentations
 Convenient to annotate? Annotate "as normal"

#### Informative and Concise? 🤔

- Contingent on sampling strategy?
- What does variation mean?
- How many candidates to consider?
- How do I make a judgement?



## Model-centric approaches disconnect uncertainty from human judgement.



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Designing Human-Connected
Uncertainty Representations

# Intervention #3 If we're stuck, let's reapproach the ground truth instead of building a fancier model

#### **Our approach: Confidence Contours**

Represent the "bounds" of structural uncertainty



Step 1Step 2Draw minDraw max

# Training models on Confidence Contours requires no model modifications.



Confidence Contours recenters the humans at both sides of the uncertainty modeling pipeline.



Training

Annotation

Prediction

Human annotators directly mark uncertainty in the image with minimally more effort.







Prediction

Models are simply trained by predicting two rather than one segmentation maps; no bells & whistles needed



All uncertainty information directly corresponds to human annotations. No black-box uncertainty inferences!



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#### Experiments

Annotation

- 45 annotators, 600 images, 2 datasets (LIDC, FoggyBlob)
- 3 CC and 3 singular annotations for each image

Modelling

• Fitted standard, Confidence Contours, and Bayesian models

Interpretation / Use

• Interviewed 5 experts on the utility of model predictions



#### CC's bound ground truth disagreement (and give a little more)



#### It's easier to make substantive conclusions about uncertainty with CCs



#### #1: Convenient to annotate

Annotators find CCs more demanding (as expected), but not by much

Dimension	LIDC		FoggyBlob	
	Singular	CC	Singular	CC
Mental Demand	3.7	*4.9	3.3	*4.6
Physical Demand	2.7	3.3	3.9	3.7
Temporal Demand	4.2	*4.9	5.0	5.5
Performance	6.9	6.9	6.8	6.9
Effort	4.8	*5.7	5.0	5.1
Frustration	3.0	*4.2	2.7	*4.0

Table 1: Average annotator responses across six dimensions and two datasets on the experience annotating using singular and CC methods, evaluated on a 10 point scale (1="very low", 10="very high"). \* indicates a statistically significant relationship, measured with a relative *t*-test by annotator.

## #2: Informative

- Annotations correspond to direct human judgements
  - ...not model abstractions
- CC's bound the range of disagreement 30.8% better than avg. singular annot.
- Disagreement is decomposed
  - Min: 13.2% decrease
  - Max: 5.6% decrease



#### #3: Concise

- CCs are only two discrete masks easy to read
- "The problem with [continuous maps] if I were looking at it just with my eye is that it's really difficult to tell the certainty level... it would be nice to have some range or threshold" [P1]
- "[CC] is easier to understand because I feel like the [min] contour is something which is more reliable that you can fall back on, and you can use the [max] contour if it makes sense to, given the situation. But you don't get those two channels of information in [continuous maps]." [P5]

## **Conclusion: Broader Themes**

- 1. Ground truth  $\rightarrow$  "Ground truths"
- 2. Identifying & centering human needs
- 3. Data-centrism & model-centrism

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### Summary



- 1. Medical segmentation is a high-stakes & structurally uncertain task.
- 2. We should model structural uncertainty rather than "collapsing" it.
- 3. Uncertainty rep's should be easy to annot., informative, concise
  - a. Methods which change the model struggle w/ last 2 properties
- 4. Confidence Contours: bound disagreement w/ min and max contour.
  - a. Uncertainty is directly annotated, rather than abstractly inferred
  - b. CCs satisfy all 3 properties
  - c. Medical experts find CCs more usable in judgements

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#### ML Bonus: CC annot's give more positive info



## Thank you!

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### Conclusion: Recapping broader themes

- Prioritizing human users and their perceptual limitations / behavior
- Data-centric over model-centric approach
- Rethinking the ground truth